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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Zhang et al.Application No./Patent No.: 09/750,737 Filed/Issue Date: 12/29/00Entitled: SWITCHABLE OPTICAL ADD/DROP DEVICE AND METHOD USING THE SAME
Alliance Fiber Optics Products, Inc. a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
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A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2-23-02

Date

(408) 736-6900 ext 108

Telephone number

Peter C. Chang

Typed or printed name

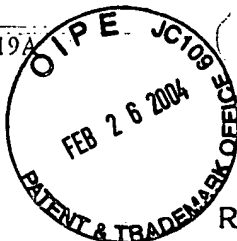
Signature

President

Title

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Correspondent Name and Address

Area Code and Telephone Number

Name

Peter C. Chang (AFOP)

Address (line 1)

735 North Pastoria Avenue

Address (line 2)

Sunnyvale, CA 94086

Address (line 3)

Technology Center 2600

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indicated herein.

Yale Zhang

Name of Person Signing

Signature

Date

12/18/00

ASSIGNMENT

In consideration of value received, the receipt and sufficiency of which are hereby acknowledged, the undersigned ASSIGNOR

1. Yale Zhang, residing at Sunnyvale, CA
2. Jian Wu, residing at Palo Alto, CA
3. _____, residing at _____
4. _____, residing at _____
5. _____, residing at _____

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hereby sell(s), assign(s) and transfer(s) unto: Alliance Fiber Optics Products, Inc. having a principle place of business at 735 North Pastoria Avenue, Sunnyvale, CA 94086, U.S.A. hereinafter designated "ASSIGNEE" the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all patent applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as SWITCHABLE OPTICAL ADD/DROP DEVICE AND METHOD USING THE SAME

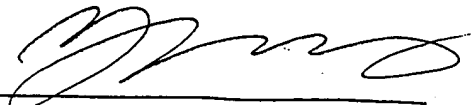
for which the undersigned

☐ previously executed --- Ser. No. _____ and filing date of _____
☒ is executing concurrently herewith

an application for Letters Patent of the United States of America

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorneys of record in said application, if any, shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

 12/18/00
Yale Zhang, inventor date

witness

Jian Wu 12/18/00
Jian Wu, inventor date

witness

_____, inventor

date

witness

_____, inventor

date

witness

_____, inventor

date

witness